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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

On Appeal from Group Art Unit 3731

RECEIVE JAN 1 4 2004

OFFICE OF PETITION

In re the Application of

Inventor:

Jose FEDIDA

Group Art Unit: 3731

Appln. No.:

09/526,547

Examiner: V. Bui

Filed:

March 16, 2000

For:

STRUCTURE OF A PROSTHESIS INTENDED TO BE IMPLANTED IN A HUMAN OR ANIMAL PASSAGE AND PROSTHESIS WITH

SUCH A STRUCTURE

PETITION UNDER 37 CFR 1.183

Assistant Commissioner of Patents Washington, D.C. 20231

Sir:

The Applicant hereby petitions, pursuant to the provisions of 37 CFR 1.183, for suspension of the rules to allow entry of a Request for Oral Hearing and a Reply Brief (both attached hereto) after the deadline set by an Examiner's Answer which issued in this application on October 20, 2003. It is believed that the circumstances described below constitute extraordinary circumstances that in equity would warrant suspension of the applicable deadline to permit submission and entry at this time of the Request for Oral Hearing and Reply Brief.

Although the Examiner's Answer was received on October 20, 2003 by the law firm of which the undersigned is a member (hereinafter, "the law firm") and the undersigned is the attorney within the firm who is responsible for this application, the undersigned never received this Examiner's Answer until January 9, 2004. The circumstances of this occurrence are described in the attached Declarations of clerical staff of the law firm and are summarized below.

The Examiner's Answer was timely received by the chief docket clerk of the law firm on October 20, 2003, as evidenced by the date of receipt which is routinely stamped on the reverse side of the last page of all papers received from the PTO. Exhibit I is a copy of this sheet. Although the chief docket clerk has fifteen (15) years of experience as a patent docket clerk, she failed, through inadvertence, to docket a deadline, based on receipt of the Examiner's Answer, for the Request for Oral Hearing or the Reply Brief, in the firm's computerized docket system (which operates the widely used PATSY docketing software). Our PATSY software does no automatically docket due dates established by issuance of an examiner's answer. Exhibit II is a Declaration of said chief docket clerk.

The docket clerk then routed the Examiner's Answer to an Administrative Assistant of the undersigned for further processing.

Exhibit III is a Declaration of the Administrative Assistant, stating that she received the Examiner's Answer on about October 20, 2003, but that she did not realize there was any due date to be docketed or any urgency to dealing with the paper because there was no due date marked thereon by the docket clerk. Due to an unusually heavy workload in October and November, and the holiday season in December, she did not prepare a letter for the undersigned's signature, to send the paper to the Applicant, until January 9, 2004. She kept the paper in question at her desk from October 20, 2003 through January 9, 2004.

As a result of the above circumstances, the undersigned did not receive the Examiner's Answer until January 9, 2004.

Further, a search of the file jacket and docket records maintained by the undersigned and the law firm indicates that the deadlines, for the Request for Oral Hearing and the Reply Brief, set by the Examiner's Answer were never docketed.

Exhibit IV is a copy of the undersigned's file jacket for this application. If the undersigned had timely received the Examiner's Answer, it would have been entered on the cover of the file jacket

indicating a due date of December 20, 2003. However, the file jacket lacks an entry for an Examiner's Answer.

Exhibit V is a copy of the undersigned's manual docket calendar for December 18-24, 2003. If the undersigned had timely received the Examiner's Answer, it would have been entered on the manual docket calender on December 20, 2003. However, no such entry was made.

Exhibit VI is a copy of the printout of the Consolidated Docket for the undersigned, obtained from the law firm's computerized docket system for the date of December 20, 2003. This printout was provided to the undersigned and his Administrative Support Staff at the end of November 2003. Except for the docket clerk's inadvertent error noted above, there should have been an entry on December 20, 2003 for the Examiner's Answer. However, the computerized docket system, as shown by the attached printout of the Consolidated Docket for the undersigned, also lacks an entry for the Examiner's Answer.

Exhibit VII is a printout obtained January 12, 2004 from the firm's PATSY system for the above-referenced application; this printout shows no entry of any deadline after receipt of the Examiner's answer.

Accordingly, it is believed that the above-described extraordinary circumstances warrant equitable suspension of the

rules to permit entry of the attached Request for Oral Hearing and Reply Brief is respectfully requested.

The fee set forth in 37 CFR 1.17(h) or any other required fee for this Petition may be charged to Deposit Account No. 19-4375.

Respectfully submitted,

James E. Ledbetter

Date: January 12, 2004

JEL/DWW/att

Registration No. 28,732 Attorney Docket No. <u>JEL 31040</u> STEVENS DAVIS, MILLER & MOSHER, L.L.P. 1615 L Street, N.W., Suite 850

P.O. Box 34387

Washington, D.C. 20043-4387

Telephone: (202) 785-0100

Facsimile: (202) 408-5200

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1400 K Street N.W. Suite 1010 Washington, D.C. 20005

side

side (sīd) noun

- 1. Mathematics. a. A line bounding a plane figure. b. A surface bounding a solid figure.
- 2. A surface of an object, especially a surface joining a top and bottom: the four sides of a box.
- 3. A surface of an object that extends more or less perpendicularly from an observer standing in front: the side of the ship.
- 4. Either of the two surfaces of a flat object: the front side of a piece of paper, the two sides of a record.
- 5. a. The part within an object or area to the left or right of the observer or of its vertical axis. b. The left or right half of the trunk of a human or animal body: always sleeps on his side; a side of beef.
- 6. a. The space immediately next to someone: stood at her father's side. b. The space immediately next to something. Often used in combination: courtside; dockside.
- 7. One of two or more contrasted parts or places within an area, identified by its location with respect to a center: the north side of the park.
- An area separated from another area by an intervening feature, such as a line or barrier: on this side of the Atlantic; the district on the other side of the railroad tracks.
- 9. a. One of two or more opposing individuals, groups, teams, or sets of opinions. b. One of the positions maintained in a dispute or debate.
- 10. A distinct aspect: the shy side of his personality. See synonyms at phase.
- 11. Line of descent: my aunt on my mother's side.
- 12. Chiefly British. Affected superiority; arrogance.

adjective

- 1. Located on a side: a side door.
- 2. From or to one side; oblique: a side view.
- 3. Minor; incidental: a side interest.
- 4. In addition to the main part; supplementary: a side benefit.

verb

sid-ed, sid-ing, sides verb, transitive

- 1. To provide sides or siding for: side a frame house with aluminum.
- 2. To be positioned next to: a couch that is sided by low tables.
- 3. To be in agreement with; support.

verb, intransitive

To align oneself in a disagreement: sided with the conservatives in Congress; siding against the bill.

--- idiom.

on the side

- 1. In addition to the main portion: coleslaw on the side.
- 2. In addition to the main occupation or activity: did some consulting work on the side.

side by side

Next to each other; close together.

this side of Informal.

Verging on; short of: shady deals that were just this side of criminal.

[Middle English, from Old English side.]

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Made in the United States of America

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sic pas-sim \'sik-'pa-sərn, 'sēk-'pä-sim\ adv [L] (1921): so throughout — used of a word or idea to be found throughout a book or a writer's

wise of a word or idea to be found throughout a book or a writer's sid-dur \'si-dər, -dūr\ n, pl sid-du-rim \sa-'dūr-am\ [LHeb siddūr, lit., order, arrangement] (1864): a Jewish prayer book containing liturgies for daily, Sabbath, and holiday observances 'side \'sid\ n \ [ME, fr. OE side; akin to OHG sita side, OE sid ample, wide] (bef. 12c) 1 a: the right or left part of the wall or trunk of the body \(a \) pain in the \(\sim \rightarrow \) bid \(\) ione of the halves of the animal body on either side of the mesial plane \((2) \): a cut of meat including that about the ribs of one half of the body — used chiefly of smoked pork products \(c \): one longitudinal half of a hide \(2 \): a place, space, or direction with respect to a center or to a line of division (as of an aisle, river, or street) \(3 \) a : one of the longer bounding surfaces or lines of an object esp. contrasted with the ends \(\tau \rightarrow \) of a barn\(\rightarrow \) b: a line or surface forming a border or face of an object \(\lambda \) die has six \(\sim \rightarrow \) (the back \(\sim \) of the cloth\(\rightarrow \) d: a bounding line of a geometric figure \(\sim \) of a triangle\(\rightarrow \) of the cloth\(\rightarrow \) d: a bounding line of a geometric figure \(\sim \) of a triangle\(\rightarrow \) of the sill\(\rightarrow \) of the sill\(\rightarrow \) of the sill\(\rightarrow \) of a triangle\(\rightarrow \) a in a rea next to something \(- \tilde \rightarrow \) a used in combination \(\lambda \rightarrow \rightarrow \) of a triangle\(\rightarrow \) of a hill\(\rightarrow \rightarrow \) of a thin object to onother: PART\(\tilde \rightarrow \rightarrow \rightarrow \rightarrow \) of a combination \(\lambda \rightarrow \

cipal occupation — this side of: short of: ALMOST (an attitude just this side of scandalous)

2side adj (14c) 1 a: situated on the side (~ window) b: of or relating to the side 2 a: directed toward or from the side (~ thrust) (~ wind) b: INCIDENTAL, INDIRECT (~ issue) (~ remark) c: made on the side (~ payment) d: additional to the main portion (~ order of french fries)

of french fries)

of french fries)

*side vb sid-ed; sid-ing v (1591) 1: to agree with: SUPPORT 2: to be side by side with 3: to set or put aside: clear away (~ the dishes) 4: to furnish with sides or siding (~ a house) ~ vi: to take sides: join or form sides (sided with the rebels)

*side n [obs. E side proud, boastful] (1878) chiefly Brit: swaggering or arrogant manner: PRETENTIOUSNESS side-arm \sid-\sid-\sid-\sid mm\ adj (1908): of, relating to, using, or being a base-ball pitching style in which the arm is not raised above the shoulder and the ball is thrown with a sideways sweep of the arm between shoulder and hip (~ delivery) — sidearm adv

*side arm n (1689): a weapon (as a sword, revolver, or bayonet) worn at the side or in the belt

side arm n (1689): a weapon (as a sword, revolver, or payoner) worn at the side or in the belt side band \, band\ n (1922): the band of frequencies (as of radio waves) on either side of the carrier frequency produced by modulation side-bar \,\partial_par

vice side-burns \-, bərnz\ n pl [anagram of burnsides] (1887) 1: SIDE-WHISKERS 2: continuations of the hairline in front of the ears — side-burned \-, bərnd\ adj side by side adv (13c) 1: beside one another 2: in the same place, time, or circumstance (lived peacefully side by side for many years) — side-car \-\side\-adj side\-car \-\side\-adj \side\-car \-\side\-adj side\-car \-\side\-adj \side\-car \-\side\-adj \side\-adj \s

side chain n (1886): a shorter chain or group of atoms attached to a

side chain n (1886): a snorter chain or group of atoms attached to a principal chain or to a ring in a molecule side chair n (1905): a chair without arms used usu, in a dining room side-dd \sid-dad/ adj (15c): having sides often of a specified number or kind \cone-sided\cone \cdot \glass-sided\cone - \sid-ed-ness n side dish n (1725): a food served separately along with the main course side-dress \sid-dres\ n (1966): SIDE-DRESSING — side-dress \side-dres\ n (1966): SIDE-DRESSING — side-dress \side-dres\ n (1966): SIDE-DRESSING — side-dress \side-dres\ n (1966): SIDE-DRESSING — side-dres\ n (1966): SIDE-DRESSING — side-dres\

dres\ \mbox{w} side-dress-ing \-idre-sin\ \mbox{n} (1935) 1: plant nutrients placed on or in the soil near the roots of a growing crop often by means of a cultivator having a fertilizer-distributing attachment 2: the act or process of ap-

having a fertilizer-distributing attachment 2: the act or process of applying side-dressing to a crop side drum n (ca. 1800): SNARE DRUM side effect n (1884): a secondary and usu. adverse effect (as of a drug) (toxic side effects)—called also side reaction side-glance \side.jlan(t)\s\n (1611) 1: a glance directed to the side 2: a passing allusion: an indirect or slight reference side.hill \(\text{hil}\) \(\text{hil}\) \(\text{hil}\) \(\text{or}\) (1674): HILSIDE — sidehill adj side horse n (ca. 1934): POMMEL HORSE side.kick \side.kik\) \(\text{n}\) (1906): a person closely associated with another as subordinate or partner

night $\frac{1}{3}$ high $\frac{1}{4}$ lin\ n (1862) 1: a line at right angles to a goal line or end line and marking a side of a court or field of play for athletic games 2 a: a line of goods sold in addition to one's principal line b: a business or activity pursued in addition to one's regular occupation 3 a: the space immediately outside the lines along either side of an athletic field or court b: a sphere of little or no participation or activity — usunsed in all

sideline vt (1943): to put out of action: put on the sidelines

side lineer \'sid-, |i-nor\ n (1947): one that remains on the sidelines dur-

ing an activity: one that does not participate ing an activity: one that does not participate 'side-ling 'sid-ling ady [ME sidling, fr. 'side + 2-ling] (14c) archaic: in a sideling direction: SIDEWAYS 'sideling adj' (1611) 1 archaic: directed toward one side: OBLIQUE 2

'sideling adj (1611) 1 archaic: airected toward one side: OBLIQUE 2 archaic: having an inclination : SLOPING (~ ground) side-long \'sid-lon\) adv [alter. of 'sideling' (14c) 1: SIDEWAYS, OBLIQUELY 2 archaic: on the side sideling adj (1597) 1: lying or inclining to one side: SLANTING 2a directed to one side (~ looks) b: indirect rather than straightforward.

side-man \'sid-1man\ n (1936): a member of a band or orchestra and

side-man (Sid-man (7 (1350)): a member of a come of officerta and esp. of a jazz or swing orchestra side-piece \-pes\) n (1802): a piece forming or contained in the side of something (the ~ of a carriage) sider- or sidero- comb form [MF, fr. L, fr. Gk sider-, sidero-, fr. sidero-,

sider- or sidero- como jorn [MF, IT. L, IT. UK sider-, sidero-, IT. sidengi iron (hemosiderin) (siderolite)
-sid-er \sid-or\ comb form: one placed or living in a usu. specified side
(as a section of the city) (an east-sider)
side-re-al \si-dir-c-al, so-\ adj [L sidereus, IT. sider-, sidus star, constellation] (1647): of, relating to, or expressed in relation to stars or contalletines.

sidereal as n = 100 (1647): of, relating to, or expressed in relation to stars or constellations: ASTRAL sidereal day n (1794): the interval between two successive transits of a point on the celestial sphere (as the vernal equinox) over the upper meridian of a place: 23 hours, 56 minutes, 4.1 seconds of mean time sidereal hour n (ca. 1891): the 24th part of a sidereal day sidereal minute n (ca. 1909): the 60th part of a sidereal hour sidereal month n (1868): the mean time of the moon's revolution in its orbit with reference to a star's position: 27 days, 7 hours, 43 minutes; 11.5 seconds of mean time sidereal second n (ca. 1909): the 60th part of a sidereal minute sidereal second n (ca. 1909): the 60th part of a sidereal minute sidereal second n (ca. 1909): the 60th part of a sidereal minute sidereal time n (1812). 1: time based on the sidereal day 2: the hour angle of the vernal equinox at a place

sidereal time n (1812) 1: time based on the sidereal day 2: the hour angle of the vernal equinox at a place sidereal year n (1681): the time in which the earth completes one revolution in its orbit around the sun measured with respect to the fired stars: 365 days, 6 hours, 9 minutes, and 9.5 seconds of mean time sider-lite \(\frac{1}{2}\) id-a-rit\(\frac{1}{2}\) n [O Siderit, fr. Ok sideros iron] (1850): a native ferrous carbonate FeCO₃ that is a valuable iron ore siderite n (1875): a nickel-iron meteorite side road n (1854): a smaller road off a main road side-roalite \(\frac{1}{2}\) id-\(\frac{1}{2}\) n (156): a saddle for women in which the rich side-sad-dle \(\frac{1}{2}\) id-\(\frac{1}{2}\) n (15c): a saddle for women in which the rich site vith both legs on the same side of the horse—sidesaddle \(\frac{1}{2}\) id-\(\frac{1}{2}\) n (15c): a sonar that scans the ocean floor to the side of a ship's track and is used esp. for mapping the ocean both to the side of a ship's track and is used esp. for mapping the ocean both to the side of the horse.

tom side-show \-shō\ n (1846) 1: a minor show offered in addition to main exhibition (as of a circus) 2: an incidental diversion or speciacle side-slip \(\sigma_i\) is de-slip \(\sigma_i\) (1887) 1: to skid or slide sideways 2: to slide side ways through the air in a downward direction in an airplane along a inclined lateral axis — sideslip \(n\) side-spin \(\sigma_i\) (1926): a rotary motion that causes a ball to revolve the control of the contr

split-ting \-, spli-tin\ adj (1856) : extremely funny — side-split-

ting-ly adv
side-step \sid-step\vi (1901) 1: to take a side step 2: to avoid an essue or decision ~v! 1: to move out of the way of: AVOID (~ a blow).

2: BYPASS, EVADE (~ a question) — side-step-per n
side step n (1789) 1: a step aside (as in boxing to avoid a blow).

step taken sideways (as when climbing on skis)
side-strad-lle hop \sid-strad-ll-\n (1952): JUMPINO JACK 2
side-strad he hop \sid-strad-ll-\n (1952): JUMPINO JACK 2
side-strad from the lighted end of a cigarette or cigar.

side street n (1617): a street joining and often terminated by a man

thoroughfare side-stroke \'sīd-,strōk\'n (1867): a swimming stroke which is executed

side-stroke \'sid-, strok\ n (1867): a swimming stroke which is executed on the side and in which the arms are swept in separate strokes towards the feet and downward and the legs do a scissors kick 'side-swipe \-\, swip\ v (1904): to strike with a glancing blow along the side (sideswiped a parked car) 'sideswipe n (1917): 1 a: the action of sideswiping b: an instance of sideswiping: a glancing blow 2: an incidental deprecatory remark allusion, or reference side table n (14c): a table designed to be placed against a wall 'side-track \'\side-track\'\side-trac

versionary tactics (~ an issue) side-walk \sid-wok\n (1739): a usu. paved walk for pedestrians at the side of a street sidewalk superintendent n (1940): a spectator at a building or demolished.

tion job side-wall \side, wol\ n (14c) 1: a wall forming the side of something it the side of an automotive tire between the tread shoulder and the right

it ine side of an automotive tire between the tread shoulder and the nebead side-ward \'sid-ward\ or side-wards \-ward\ adv (15c): toward a side side-way \'sid-wa\ adv or ad) (1612): sIDEWAYS side-ways \-wa\ adv or ad) (1577) 1: from one side 2: with one side forward \(\text{turn} \rightarrow \) 3 a: in a lateral direction or downward to one side \(\text{choped} \rightarrow \) \(\text{slump} \rightarrow \) b: ASKANCE (look \(\text{car}\) at a someone \(\text{side-wheel}\) \(\text{sid-hwis-larg}\), \(\text{will}\) \(\text{did-wheel-er}\) \(\text{-hwe-larg}\), \(\text{-wis-larg}\) \(\text{pid-hwis-kers}\) \(\text{sid-whis-kerz}\), \(\text{-wis-larg}\), \(\text{n}\) \(\text{pid-hwis-larg}\), \(\text{n}\) \(\text{pid-whis-kers}\) \(\text{oid-whis-kers}\) \(\text{vid-win-dary}\), \(\text{n}\) \(\text{1840}\) \(\text{1: a heavy swinging blow from the side 2: a small pale-colored desert rattlesnake (Crotalus certates) of the southwestern U.S. that moves by thrusting its body diagonal forward in a series of flat S-shaped curves \(\text{sid-wis-wis-large}\) \(\text{al}\) \(\text{min-dari}\) \(\text{n}\) \(\text{1603}\) \(\text{1371}\) \(\text{sid-sub-was-side-wis-side-wis-wis-large}\) \(\text{n}\) \(\text{1603}\) \(\text{1371}\) \(\text{sid-sub-was-sid-sid-sid-wis-large}\) \(\text{n}\) \(\text{1603}\) \(\text{1603}\) \(\text{1371}\) \(\text{1603}\) \(\text{1371}\) \(\text{sid-wis-was-sid-sid-wis-large}\) \(\text{1571}\) \(\text{150}\) \(\text{1571}\) \(\t

trans.

sidle \'si-d'el\ vb sidle formation fr. 2sideling 1 esp. in a furtive advance sidle n

dege \'sēj also 'sēzh\ n seat, settle, fr. (assumed (13c) 1 obs: a seat of d of a city or fortified place of a city of fortified place serious attack (as of illin militarily 2: to pursue dege mentality n (1953) Siege Perilous n: a seat think destined.

Siege Persious n: a seat knight destined to achie other occupying it Sieg-fried \'sig-fred, 'seg a dragon guarding a gold ed sleep

od sleep
Slegfried line n [Siegfrie tions facing the Maginor sleemens \'sē-manz, 'zē-\'electrical engineer] (ca. sienma \second system sienma \second r [It i (1787): an earthy substa ganese that is brownish brown when burnt and brown when burnt and sier-o-zem \se-er-o-zem mountains esp. with a about a sierra 2: any mountains esp. with a jabout a sierra 2: any related to the mackerel Sierra (1952) — a comm sier-ran 'sē-'er-an' adjillis, 2 cap: of or re western U.S.

Western U.S.
Sterran n (1906): a natiNevada Mountains
diest al \(\section \) 'es-to \(\nabla \) [So,
distral (1655): an afte
sieva bean \(\section \) 'sē-vo-, 'si(Phaseolus lunatus) of 1
sometimes classified with
bleve \(\subseteq \) [Me sive, 1
device with meshes or 1
mixture (as of asbes file) mixture (as of ashes, flo separate them from co drained from liquid-con als may be forced for re sieve vb sieved; siev-in sieve of Er-a-tos-the-ne finding prime numbers from 2 up in succession ery fifth after 5 includin and so on with each su with every number that with every number that sieve plate n (1875): a cone of the individual ce sieve tube n (1875): a t walled living plant cells tion chiefly in transloca si-faka \so-'fa-ko\ n [M. black and white lemur: fur

sift vsift vb [ME, fr. Ol to put through a sieve of as if by putting throwal it is useful or valuthrough (~ through a sifting: (~ sugar on a c sift through a sieve — sift ling n (15c) 1: the sight vsi (13c) 1 or relief) 2: to make: to sigh! vi (13c) 1 or relief) 2: to make: sy 3: ORIEVE, YEAR: by sighs 2 archaic: to ligh n (14c) 1: an often ing an emotion or feeling moving or escaping with twelve. sift \'sift\ vb [ME, fr. Of and an emotion or feeling working or escaping sight \(\frac{1}{2}\) sit\(\tau\) n [ME, fr. O to OHG gisiht sight, O] to OHG gisiht sight, O] ten: SPECTACLE 2 at in pl. (the \sightarrow s of the cit pearance \(\frac{1}{2}\) you do da!: LOT \(\frac{1}{2}\) process, power, or func the end organ is the eyo objects are perceived \(\frac{1}{2}\) to UDGMED \(\frac{1}{2}\) MSPECTION PERISAL view; specif: JUDGMEr INSPECTION, PERUSAI termine direction or pc an object by or as if by gange of vision (was no to the maker or drafter aiming or in finding the ber ~s or a medical ca

s on a medical ca tance or time — on sig out of sight 1: ber feason 3— used as a

Exhibit 2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE RECEIVED

On Appeal from Group Art Unit 3731

JAN 1 4 2004

In re the Application of

OFFICE OF PETITIONS

Inventor:

Jose FEDIDA

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For:

STRUCTURE OF A PROSTHESIS INTENDED TO BE IMPLANTED IN A HUMAN OR ANIMAL PASSAGE AND PROSTHESIS WITH

SUCH A STRUCTURE

DECLARATION OF DOCKET CLERK

Attn:

Office of Petitions

Assistant Commissioner of Patents Washington, D. C. 20231

Dear Sir:

The undersigned, Chief Docket Clerk for the undersigned's law firm, hereby declares that:

- (1) I have fifteen years of experience as a patent docket clerk for various law firms;
- (2) I have been employed as chief docket clerk by Stevens, Davis, Miller & Mosher, from June, 2003 to the present;
- (3) I received the Examiner's Answer dated October 20, 2003, in the above-captioned application, on October 20, 2003;
- (4) Our PATSY software does no automatically docket deadlines established by issuance of an Examiner's Answer, and I, through mistake and inadvertence, did not docket the deadline for the reply brief and the request for oral hearing in PATSY;
- (5) after processing the Examiner's answer, I forwarded the Examiner's Answer to Mr. Ledbbeter's Administrative Assistant;

- (6) on January 9, 2004, Mr. Ledbetter informed me of the error in not docketing the deadline for the reply brief and the request for oral hearing;
- (7) attached hereto is a copy of the PATSY printout for Mr. Ledbetter's Consolidated Docket that I circulated to Mr. Ledbetter and his staff at the beginning of December, 2003; this Consolidated docket contains all items having due dates entered into PATSY for all of Mr. Ledbetter's cases and would have included any action items for the above-identified application;
- (8) attached hereto is a copy of a docket printout from PATSY for the above-referenced application showing the entries for this application as of January 12, 2004; and
- (9) all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

January 12, 2004

Marcia Blake

URGENT CONSOLIDATED DOCKET FOR JAMES E. LEDBETTER

Printed: 11/26/2003

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18 Thu ,	18 Thu JEUJELJEL	L9289:02217	BASE STATION APPARATUS AND RADIO COM Washida & Associates	(US) 10/311,592	STATIUS GHECK	. 0	None
18 Thu	18 Thu JEL/JEL	L9289.02217	BASE STATION APPARATUS AND RADIO COM Washida & Associates	(US) 10/311,592	ASSIGNMENT RECORDED?	က	None
19 Fri J	JEL/JEL	L4093.02106	SMS LIU	(US) 76/441,237	STATUSCHECK	0	None
19 Fri J	JEL/JEL/JEL	L7016.01139	PRINTER CONTROLLER ASAMURA PATENT OFFICE	(US) 09/985,083	STATUS CHECK	0	None
19 Fri J	JEUJELJEL	L7307.01120	DAMPING STRUCTURE AND APPLICATIONS CABINET BONNETAT	(US) 09/980,125	FINAL OFFICE ACTION	-	2 Ext/1 Mnth
19 Fri J	JEL/JEL/JEL	L7307.01120	DAMPING STRUCTURE AND APPLICATIONS CABINET BONNETAT	(US) 09/980,125	NOTICE OF APPEAL	-	2 Ext/1 Mnth
19 Fri J	JEL/JEL/JEL	L7307.03136	DEVICE FOR ARTICULATING A FLAP TO AN AI CABINET BONNETAT	(US) 10/440,300	POST CARD RCVD?	-	None
19 Fri	JEUJELJEL	L7307.03146	DEVICE AND SYSTEM FOR MAINTAINING A C CABINET BONNETAT	(US) 10/463,856	PUBLICATION	. 0	None
19 Fri	JEL/JEL/JEL	L7307.03147	DEVICE FOR AIDING THE LOCATING OF A FAI CABINET BONNETAT	(US) 10/463,863	PUBLICATION	0	None
19 Fri J	JEL/JEL/JEL	1.741.01.109	METHOD AND SYSTEM FOR REDUCING PERS CABINET JP COLAS	(US) 09/988,301	STATUS GHECK	က	None
19 Fri J	JELJELJEL	18462:02110	FIELD EFFECT TRANSISTOR SWITCH CIRCUI MIYAI PATENT OFFICE	(US) 10/322,589	WSTATUS GHECK		None
19 Fri J	JELJELJEL	L9079.01111A	ELASTIC AIR TEXTURED YARN AND ITS MAN TUN, JOU & LAY IP OFFICE	(US) 10/391,010	PUBLICATION	0	None
19 Fri J	JELJELJEL	L9289/02/124A	COMMUNICATION TERMINAL APPARATUS AN Washida & Associates	(US) 10/322,425	STATUS CHECK	0	None
19 Fri	JEUJELJEL	L9289.02182	BASE STATION APPARATUS AND RADIO TRA Washida & Associates	(US) 10/204;221	STATUS ON PETITION	က	None
20 Sat J	JELJELJEL	JEL30239ADIV	HEAT SINK APPARATUS, BLOWER FOR USE T ASAMURA PATENT OFFICE	(US) 09/925,508	ISSUE FEE 1 MTH C-U	0	None
20 Sat	20 Sat JEL/JEL/JEL	JEL30682B	POLYMER ELECTROLYTE FUEL CELL AND PR ASAMURA PATENT OFFICE	(US) N/A	POST CARD RCVD?	0	1 ExV1 Mnth
20 Sat	JELJELJEL	JEL31206PCT	APPARATUS AND METHOD FOR TRANSMISSI Washida & Associates	(US) 09/582,558	STATUS INQUIRY LTR	0	None
20 Sat	JEL/JEL/JEL	JEL31231	BASE STATION APPARATUS, ID CONTROL AP Washida & Associates	(US) 09/635,097	NOTICE OF APPEAL	-	2 Ext/1 Mnth
20 Sat	20 Sat JEUJEUJEL	JEL31231	BASE STATION APPARATUS, ID CONTROL AP Washida & Associates	(US) 09/635,097	FINAL REJECTION	-	2 Ext/1 Mnth

URGENT CONSOLIDATED DOCKET FOR JAMES E. LEDBETTER

Printed: 11/26/2003

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PARA PARA	2 REFERENCE#	TITLE / MARK	CLIENT	REG/SERIAL#	CL	CURRENT EXT	EXT AVAILABLE
December continued 20 Sat JEL/JEL/JEL	1,7016,03112	RESERVOIR UNIT	ASAMURA PATENT OFFICE	(US) 10/465,892	STATUS CHECK #	0	None
<u>.</u>	07770		+ 4 + 11 41 4 (7 + 11 41 4 4)			c	
20 Sat JEL/JEL/JEL	L7307.01118	DEVICE FOR DETERMINING THE VALUES OF	CABINET BONNETAT	(US) 09/979,886	STATUS INQ CORR OFR	7	1 ExV1 Minth
20 Sat JEL/JEL/JEL	L7307.01120	DAMPING STRUCTURE AND APPLICATIONS	CABINET BONNETAT	(US) 09/980,125	ASSIGNMENT RECORDED?	0	2 Ext/1 Mnth
20 Sat JEL/JEL/JEL	L7307.03149	PROCESS AND DEVICE FOR DEVISING	AN AB CABINET BONNETAT	(US) 10/465,844	STATUS CHECK	0	None
20 Sat JEL/JEL/JEL	L7725.03109	N/A	GRUENECKER KINKELDEY ST	(US) N/A	FILE PATENT APPL	0	None
20 Sat JEL/JEL/JEL	1.9289 00127	RECEIVING APPARATUS AND SYNCHRONIZA Washida & Associates	Washida & Associates	(US) 09/719,821	STATUS CHECK	-	2 Ext/1 Mnth
20 Sat JEL/JEL/JEL	<u>1.9289.01148</u>	ARRAY ANTENNA RADIO COMMUNICATION A Washida & Associates	Washida & Associates	(US) 09/868,699	STATUS GHECK	က	None
20 Sat JEL/JEL/JEL	1.9289.01174	RECEIVING APPARATUS AND GAIN CONTROL	NTROL Washida & Associates	(US) 09/913,848	STATUS CHECK	-	2 ExV1 Mnth
20 Sat JEL/JEL/JEL	L9289.01223	CHANNEL ALLOCATION METHOD AND RADIO	RADIO Washida & Associates	(US) 09/979,889	STATUS INQ CORR OFR	- '	2 Ext/1 Mnth
20 Sat JEL/JEL/JEL	L9289.01224	COMMUNICATION CONTROL APPARATUS AN Washida & Associates	Washida & Associates	068,879/80 (SU)	STATUS INQ CORR OFR	←.	2 Ext/1 Mnth
20 Sat JEL/JEL/JEL	19289:02118	ADAPTIVE MODULATION COMMUNICATION S Washida & Associates	Washida & Associates	(US) 10/069,009	SIATUS CHECK	-	2 ExV1 Mnth
20 Sat JELVJELJEL	L9289,02121	BASE STATION APPARATUS AND CHANNEL A Washida & Associates	Washida & Associates	(US) 10/069,008	STATUS CHECK ;	•	2 ExV1 Mnth
20 Sat JEL/JEL/JEL	19289/02/22	DATA TRANSMITTING APPARATUS AND DATA Washida & Associates	. Washida & Associates	(US) 10/069,005	STATUS CHECK		2 Ext/1 Mnth
20 Sat JEL/JEL/JEL	1.9289 02125	RADIO COMMUNICATION SYSTEM AND MULTI	MULTI Washida & Associates	(US) 10/069,004	STATUS CHECK	-	2 Ext/1 Mnth
20 Sat JELJELJEL	1.9289.02.147	BASE STATION APPARATUS AND CHANNEL A Washida & Associates	Washida & Associates	(US) 10/088,650	STATUS CHECK	9	None
20 Sat JELJELJEL	1.9289.02205	TRANSMISSION APPARATUS AND TRANSMIS Washida & Associates	Washida & Associates	(US) 10/204,356	STATUS CHECK	0	None
20 Sat JELJELJEL	19361.02102	TIME RECORDER WITH A CARD FEED I	RATE A YAJIMA PATENT OFFICE	(US) 10/147,913	STATUS GHECK	-	2 Ext/1 Mnth
21 Sun JEUJEUJEL	L7016.01105	NICKEL POSITIVE ELECTRODE ACTIVE MATÉ	ASAMURA PATENT OFFICE	(US) 09/805,509	NOTICE OF APPEAL	τ-	2 Ext/1 Mnth
21 Sun JEL/JEL/JEL	L7016.01133	SECONDAY BATTERY HAVING A NON-AQUEO ASAMURA PATENT OFFICE	ASAMURA PATENT OFFICE	(US) 09/959,099	ISSUE FEE 1 MTH C-U	0	None

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INVENTORS	ASSIGNEES		
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Exhibit 3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

On Appeal from Group Art Unit 3731

In re the Application of

Inventor:

Jose FEDIDA

Group Art Unit: 3731

Appln. No.: 09/526,547

Examiner: V. Bui

Filed:

March 16, 2000

For:

STRUCTURE OF A PROSTHESIS INTENDED TO BE IMPLANTED IN A HUMAN OR ANIMAL PASSAGE AND PROSTHESIS WITH

SUCH A STRUCTURE

DECLARATION OF ADMINISTRATIVE ASSISTANT

Attn: Office of Petitions

Assistant Commissioner of Patents Washington, D. C. 20231

Dear Sir:

The undersigned hereby declares that:

- (1) I have been employed as an Administrative Assistant for Mr. Ledbetter of Stevens, Davis, Miller & Mosher, from April, 2001 to the present;
- (2) I received the Examiner's Answer dated October 20, 2003, in the above-captioned application, from the Chief Docket Clerk of Stevens, Davis, Miller & Mosher on October 20, 2003;
- (3) I did not realize there was any due date to be docketed or urgency to dealing with the paper because there was no due date marked thereon by the docket clerk;
- (4) from October 20, 2003 through January 9, 2004, the Examiner's Answer was in a stack of papers at my desk;
- (5) due to an unusually heavy workload in October and November, and the holiday season in December, I did not prepare a

letter for the undersigned's signature, to send the paper to the Applicant, until January 9, 2004;

- (6) on January 9, 2004, Mr. Ledbetter asked me where the paper had been for the last two and one half months, and I explained the above to him;
- (7) I receive a copy of Mr. Ledbetter's Consolidated Docket each month and the Consolidated Docket for December 2003 did not contain any entry for this application; and
- (8) all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

January 12, 2004

Sachi Pham

THURSDAY • DECEMBER 18, 2003 TO BE DONE TODAY (ACTION LIST) APPOINTMENTS & SCHEDULED EVEN	S Day, 13.C	ays Left DIARY AND WORK RECOR	RD • EXPENSES	Sie Sie S
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URGENT CONSOLIDATED DOCKET FOR JAMES E. LEDBETTER

Printed: 11/26/2003

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ATTY1/ATTY2	2 REFERENCE#	TITLE / MARK CLIENT	REG/SERIAL#	CUF ACTION E	CURRENT EXT	EXT. AVAILABLE
December continued				7		
18 Thu JEL/JEL/JEL	L9289.02217	BASE STATION APPARATUS AND RADIO COM Washida & Associates	(US) 10/311,592	STATUS CHECK	۰.0	None
18 Thu JEL/JEL/JEL	L9289.02217	BASE STATION APPARATUS AND RADIO COM Washida & Associates	(US) 10/311,592	ASSIGNMENT RECORDED?	က	None
19 Fri JEUJEUJEL	L4093:02106	SMS LIU AND LIU	(US) 76/441,237	STATUS GHECK	0	None
19 Fri JELJELJEL	1,7016,01139	PRINTER CONTROLLER ASAMURA PATENT OFFICE	FFICE (US) 09/985,083	STATUS CHECK	0	None
19 Fri JEL/JEL/JEL	L7307.01120	DAMPING STRUCTURE AND APPLICATIONS CABINET BONNETAT	(US) 09/980,125	FINAL OFFICE ACTION	-	2 Ext/1 Mnth
19 Fri JEL/JEL/JEL	L7307.01120	DAMPING STRUCTURE AND APPLICATIONS CABINET BONNETAT	(US) 09/980,125	NOTICE OF APPEAL	-	2 Ext/1 Mnth
19 Fri JEL/JEL/JEL	L7307.03136	DEVICE FOR ARTICULATING A FLAP TO AN AI CABINET BONNETAT	(US) 10/440,300	POST CARD RCVD?	-	None
19 Fri JEUJEUJEL	L7307.03146	DEVICE AND SYSTEM FOR MAINTAINING A C CABINET BONNETAT	(US) 10/463,856	PUBLICATION	0	None
19 Fri JEL/JEL/JEL	L7307.03147	DEVICE FOR AIDING THE LOCATING OF A FAI CABINET BONNETAT	(US) 10/463,863	PUBLICATION	0	None
19 Fri JELJJELJEL	L741.01109	METHOD AND SYSTEM FOR REDUCING PERS CABINET JP COLAS	(US) 09/988,301	STATUS CHECK	က	None
19 Fri JELVJELVEL	L8462:02110	FIELD EFFECT TRANSISTOR SWITCH CIRCUI MIYAI PATENT OFFICE	E (US) 10/322,589	STATUS CHECK	0	None
19 Fri JELJELJEL	L9079.01111A	ELASTIC AIR TEXTURED YARN AND ITS MAN TUN, JOU & LAY IP OFFICE	FICE (US) 10/391,010	PUBLICATION	0	None
19 Fri JEL/JEL/JEL	1.9289:02124A	COMMUNICATION TERMINAL APPARATUS AN Washida & Associates	(US) 10/322,425	STATUS CHECK	0	None
19 Fri JELVJELVJEL	L9289.02182	BASE STATION APPARATUS AND RADIO TRA Washida & Associates	(US) 10/204,221	STATUS ON PETITION	ဗ	None
20 Sat JEL/JEL/JEL	JEL30239ADIV	HEAT SINK APPARATUS, BLOWER FOR USE T ASAMURA PATENT OFFICE	FFICE (US) 09/925,508	ISSUE FEE 1 MTH C-U	0	None
20 Sat JEL/JEL/JEL	JEL30682B	POLYMER ELECTROLYTE FUEL CELL AND PR ASAMURA PATENT OFFICE	FFICE (US) N/A	POST CARD RCVD?	o,	1 ExV1 Mnth
20 Sat JELJELJEL	JEL31206PCT	APPARATUS AND METHOD FOR TRANSMISSI Washida & Associates	(US) 09/582,558	STATUS INQUIRY LTR	0	None
20 Sat JELJELJEL	JEL31231	BASE STATION APPARATUS, ID CONTROL AP Washida & Associates	(US) 09/635,097	NOTICE OF APPEAL	-	2 ExV1 Mnth
20 Sat JEL/JEL/JEL	JEL31231	BASE STATION APPARATUS, ID CONTROL AP Washida & Associates	(US) 09/635,097	FINAL REJECTION	-	2 Ext/1 Mnth

EX4.5,7 PAGE 19

URGENT CONSOLIDATED DOCKET FOR JAMES E. LEDBETTER

Printed: 11/26/2003

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PARA PARA	ry2 REFERENCE#	TITLE / MARK	CLIENT	REG/SERIAL#	CU	CURRENT	EXT AVAILABLE
December continued 20 Sat JELJEL/JEL	E7016.03112	RESERVOIR UNIT	ASAMURA PATENT OFFICE	(US) 10/465,892	STATUS CHECK	0	None
20 Sat JEL/JEL/JEL	. L7307.01118	DEVICE FOR DETERMINING THE VALUES OF	CABINET BONNETAT	988'679'60 (SU)	STATUS INQ CORR OFR	7	1 ExV1 Mnth
20 Sat JEL/JEL	L7307.01120	DAMPING STRUCTURE AND APPLICATIONS	CABINET BONNETAT	(US) 09/980,125	ASSIGNMENT RECORDED?	0	2 ExV1 Mnth
20 Sat JEL/JEL	[7307.03149	PROCESS AND DEVICE FOR DEVISING	AN AB CABINET BONNETAT	(US) 10/465,844	STATUS CHECK	0	None
20 Sat JEL/JEL/JEL	. L7725.03109	N/A	GRUENECKER KINKELDEY ST	(US) N/A	FILE PATENT APPL	0	None
20 Sat JEL/JEL/JEL	1.9289 00127	RECEIVING APPARATUS AND SYNCHRONIZA	ONIZA Washida & Associates	(US) 09/719,821	STATUS CHECK	~	2 ExV1 Mnth
20 Sat JEL/JEL/JEL		ARRAY ANTENNA RADIO COMMUNICATION A Washida & Associates	Washida & Associates	(US) 09/868,699	STATUS GHECK	ဗ	None
20 Sat JEL/JEL/JEL	L9289.01174	RECEIVING APPARATUS AND GAIN CONTROL	NTROL Washida & Associates	(US) 09/913,848	STATUS CHECK.	-	2 ExV1 Mnth
20 Sat JEL/JEL/JEL	. L9289.01223	CHANNEL ALLOCATION METHOD AND RADIO	RADIO Washida & Associates	(SO) 09/979,889	STATUS INO CORR OFR	-	2 ExV1 Mnth
20 Sat JEUJEUJEL	. L9289.01224	COMMUNICATION CONTROL APPARATUS AN	US AN Washida & Associates	08,879/80 (SU)	STATUS INQ CORR OFR	- ·	2 ExV1 Mnth
20 Sat JEL/JEL/JEL	. LE9289:02418	ADAPTIVE MODULATION COMMUNICATION S	Washida & Associates	(US) 10/069,009	STATUS CHECK	· -	2 ExV1 Mnth
20 Sat JEL/JEL	16289.02121	BASE STATION APPARATUS AND CHANNEL A Washida & Associates	Washida & Associates	(US) 10/069,008	STATUS GHECK	-	2 Ext/1 Mnth
20 Sat JEL/JEL/JEL	1.9289.02.122	DATA TRANSMITTING APPARATUS AND DATA Washida & Associates	Washida & Associates	(US) 10/069,005	STATUSCHECK	-	2 Ext/1 Mnth
20 Sat JEL/JEL/JEL	L9289 02125	RADIO COMMUNICATION SYSTEM AND MULTI	MULTI Washida & Associates	(US) 10/069,004	STATUS CHECK	-	2 ExV1 Mnth
20 Sat JEL/JEL/JEL	1.9289.02147	BASE STATION APPARATUS AND CHANNEL A Washida & Associates	Washida & Associates	(US) 10/088,650	STATUS CHECK	9	None
20 Sat JEL/JEL/JEL	⊩9289:02205	TRANSMISSION APPARATUS AND TRANSMIS Washida & Associates	Washida & Associates	(US) 10/204,356	STATIUS CHECK	0	None
20 Sat JEL/JEL/JEL	_E9361_02102	TIME RECORDER WITH A CARD FEED	RATE A YAJIMA PATENT OFFICE	(US) 10/147,913	STATIVSIGHEOK	-	2 ExV1 Mnth
21 Sun JEL/JEL/JEL	. L7016.01105	NICKEL POSITIVE ELECTRODE ACTIVE MATE ASAMURA PATENT OFFICE	ASAMURA PATENT OFFICE	(US) 09/805,509	NOTICE OF APPEAL	-	2 Ext/1 Mnth
21 Sun JEL/JEL/JEL	. L7016.01133	SECONDAY BATTERY HAVING A NON-AQUEO ASAMURA PATENT OFFICE	ASAMURA PATENT OFFICE	(US) 09/959,099	ISSUE FEE 1 MTH C-U	0	None

Exhibit 6

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TYPE		UTL		STATUS	PENDING		ST	TRUCTURE							ISSUE				
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СН	Υ	CHANGE O	F ADDRES	SS	3/16/2000][
AS	Y	REC. ASSIG	GNMENT		5/26/2000														
	Y	FILING REC	CEIPT REC	.D	6/1/2000														j
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INVENTORS	ASSIGNEES
Jose FEDIDA	NOVATECH SA
	10657/0436

	USER-DEFINABLE FIELDS		PATENT FIELDS	
ART#	3731	SMALL ENTITY	ART UNIT	3731
EXAM.	VY Q BUI	CLAIMS	EXAMINER	VY Q BUI
SDMM#		PUBLICATION#	CONFIRM#	1825